

26 June 2020



Redacted to protect personal privacy.

Dear

This letter responds to your request for information under the Official Information Act 1982 (the OIA) relating to the Upper North Island Supply Chain Strategy.

I refer to my letter of 15 April 2020 that detailed your various requests (received by the Ministry of Transport, and transferred from MBIE, NZTA and the Treasury respectively). My letter extended the timeframe for a response to your requests, and clarified the scope of your original request, following our telephone conversation on the matter, as follows:

"...the various iterative drafts of the WG reports, plus the briefings etc. provided to Ministers by officials, plus any significant reports or correspondence that came from outside – e.g. a Port or Council providing substantive views on the issue, or work that was commissioned from consultants."

Attached to this letter is a schedule setting out the documents in scope. Enclosed is the information we are releasing to you.

It is important to note, the Ministry of Transport provided secretariat support to the Upper North Island Supply Chain Study Working Group, but the Working Group worked independently and the various documents produced (or views expressed) by the working Group do not necessarily reflect Government policy. As you will be aware, the Government instructed officials, led by the Ministry of Transport, to undertake further technical and financial analysis when it received the Working Group report in December 2019.

Certain information has been withheld under the OIA for the following reasons:

- to protect the privacy of persons section 9(2)(a) of the OIA
- to maintain the effective conduct of public affairs through the free and frank expression of opinions by or between or to Ministers of the Crown or members of an organisation or officers and employees of any department or organisation in the course of their duty – section 9(2)(g)(i) of the OIA

In regard to the information that has been withheld under section 9 of the OIA, I am of the opinion that there are no countervailing considerations that make it desirable, in the public interest, to make the information available.

As indicated in the schedule, some information is publicly available. In regard to this information, section 18(d) applies. We have provided a website link in the schedule to where the information may be found.

Please note, despite efforts, some of the information (for example, spreadsheet data) has not displayed optimally when converted to PDF format. If you are interested specifically in this technical data, please make contact and we will explore options.

You have the right under section 28(3) of the OIA to make a complaint to the Ombudsman. You can make a complaint by email (<a href="mailto:info@ombudsman.parliament.nz">info@ombudsman.parliament.nz</a>) or post (PO Box 10152, Wellington 6143).

Please note that we make available OIA responses (and any information released) on the Ministry's website five working days after it has been provided to you. Before making the information available, we will remove your name and email address from this letter.

Yours sincerely



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Nick Brown

Deputy Chief Executive - System Performance and Governance

## Schedule: Outline of information

No.	Title	Explanation
1	Briefing - Upper North Island Supply Chain Strategy Update	Some information withheld under section 9(2)(a) and section 9(2)(g)(i) of the Official Information Act 1982
2	Aide Memoire - UNISCS Interim Report Cabinet Paper	Some information withheld under section 9(2)(a) of the Official Information Act 1982
3	Cabinet paper - Upper North Island Supply Chain Strategy Interim Report	Refused under section 18(d) of the Official Information Act www.transport.govt.nz/multi-modal/keystrategiesandplans/upper-north-island-supply-chain-strategy/
4	Speaking notes – Upper North Island Supply Chain Strategy Interim Report	Released in full
4a	Interim progress report on the Upper North Island Supply Chain Strategy	Refused under section 18(d) of the Official Information Act www.transport.govt.nz/multi- modal/keystrategiesandplans/upper-north- island-supply-chain-strategy/
5	Memo - Material for Minister Parker's attendance at the Upper North Island Strategic Alliance meeting in June 2019	Refused under section 18(d) of the Official Information Act www.transport.govt.nz/multi-modal/keystrategiesandplans/upper-north-island-supply-chain-strategy/
3	Briefing – Upper North Island Supply Chain Strategy – Meeting on second interim report	Refused under section 18(d) of the Official Information Act www.transport.govt.nz/multi- modal/keystrategiesandplans/upper-north- island-supply-chain-strategy
7	Briefing – Advice on the Working Group's second interim report on Upper North Island Supply Chain Strategy	Refused under section 18(d) of the Official Information Act www.transport.govt.nz/multi-modal/keystrategiesandplans/upper-north-island-supply-chain-strategy/
3	Briefing – Upper North Island Supply Chain Strategy: Advice on final report	Refused under section 18(d) of the Official Information Act www.transport.govt.nz/multi- modal/keystrategiesandplans/upper-north- island-supply-chain-strategy/
9	Aide Memoire – UNISCS Final Report	Some information withheld under section 9(2)(a) of the Official Information Act 1982

No.	Title	Explanation
10	Cabinet paper – Upper North Island Supply Chain Strategy Final Report	Refused under section 18(d) of the Official Information Act www.transport.govt.nz/multi-modal/keystrategiesandplans/upper-north-island-supply-chain-strategy/
11	DRAFT - Interim progress report on the Upper North Island Supply Chain Strategy DDJ	Released in full
12	Email - Interim progress report on the Upper North Island Supply Chain Strategy v1.1	Some information withheld under section 9(2)(a) of the Official Information Act 1982
13	Attachment – DRAFT Interim progress report on the Upper North Island Supply Chain Strategy	Released in full
14	Email chain from Working Group - Interim progress report back	Some information withheld under section 9(2)(a) of the Official Information Act 1982
14a	DRAFT Interim progress report on the Upper North Island Supply Chain Strategy v1.1 (SK edits)	Released in full
15	Email - Interim progress report on the UNISCS v.1.10	Some information withheld under section 9(2)(a) of the Official Information Act 1982
15a	Draft Interim progress report on the Upper North Island Supply Chain Strategy v1.10	Released in full.
16	Email from Working Group - Interim progress report on the Upper North Island Supply Chain Strategy	Some information withheld under section 9(2)(a) of the Official Information Act 1982
16a	DRAFT - Interim progress report on the Upper North Island Supply Chain Strategy	Released in full
17	Email - Interim progress report on the Upper North Island Supply Chain Strategy v.1.13	Some information withheld under section 9(2)(a) of the Official Information Act 1982
17a	DRAFT Interim progress report on the Upper North Island Supply Chain Strategy v.1.13	Released in full.

No.	Title	Explanation
18	Email - Interim progress report on the Upper North Island Supply Chain	Some information withheld under section 9(2)(a) of the Official Information Act 1982
18a.	DRAFT Interim progress report on the Upper North Island Supply Chain Strategy v1.11 [Shane Vuletich]	Released in full.
19	Email - Interim progress report on the Upper North Island Supply Chain Strategy	Some information withheld under section 9(2)(a) of the Official Information Act 1982
19a	Email – DRAFT Interim progress report on the Upper North Island Supply Chain Strategy	Released in full.
20	Email - Interim progress report on the Upper North Island Supply Chain Strategy	Some information withheld under section 9(2)(a) of the Official Information Act 1982
21	DRAFT Interim progress report on the Upper North Island Supply Chain Strategy	Released in full
22	DRAFT Interim progress report on the Upper North Island Supply Chain Strategy	Refused under section 18(d) of the Official Information Act www.transport.govt.nz/multi- modal/keystrategiesandplans/upper-north- island-supply-chain-strategy/
23	Email - UNISCS REPORT	Some information withheld under section 9(2)(a) of the Official Information Act 1982.
23a	Attachment (UNISCS REPORT #2) - Report 2 Draft of chapter headings attached to email	Released in full
24	Email - Upper North Island Supply Chain Study WG cover Draft attached to email "FW: UNISCS Interim Report"	Released in full
24a	DRAFT Report - Upper North Island Supply Chain Study Second Interim Report:	Released in full
	Options and Analysis	

No.	Title	Explanation
25	Email chain– Susan's comments on report and Uniscs report	Some information withheld under section 9(2)(a) of the Official Information Act
25a	Report – Draft Upper North Island Supply Chain Study Second Interim Report: Options and Analysis	Released in full
26	Email from the Treasury - Agenda for Monday	Some information withheld under section 9(2)(a) of the Official Information Act
27	Email - UNISCS draft skeleton report	Some information withheld under section 9(2)(a) of the Official Information Act
28	Draft report - Upper North Island Supply Chain Strategy	Released in full
29	Email – Skeleton report	Some information withheld under section 9(2)(a) of the Official Information Act
30	DRAFT Upper North Island Supply Chain Strategy Final Report Skeleton v1	Released in full
31	Email – Interim report 2	Some information withheld under section 9(2)(a) of the Official Information Act
32	Draft Cabinet paper – Second Interim Report – Options and Analysis	Released in full
33	Email – Skelton report – Comments from Shane Vuletich	Some information withheld under section 9(2)(a) of the Official Information Act
34	Draft report - Upper North Island Supply Chain Strategy from Shane Vuletich	Released in full
35	Email - Content for Final UNISCS Report	Some information withheld under section 9(2)(a) of the Official Information Act
36	Document – Content plan for UNISCS	Released in full
37	Email - UNISCS Second Interim Report	Some information withheld under section 9(2)(a) of the Official Information Act
38	Document - UNISCS Second Interim Report	Released in full.

No.	Title	Explanation
39	Email from Susan Krumdieck - MOT Maps - Landscape Slide Set Up_v2	Some information withheld under section 9(2)(a) of the Official Information Act
40	Draft report – Upper North Island Strategy – A strategic recommendation for the future of our ports	Released in full.
41	Email – draft report	Some information withheld under section 9(2)(a) of the Official Information Act
42	DRAFT Final UNISCS Report v.21 - Report 3	Released in full
43	Email from Exceltium - Fwd: Progress Report	Some information withheld under section 9(2)(a) of the Official Information Act
44	Draft report - Transforming Auckland / Northland	Released in full
45	Draft report - Transforming Auckland / Northland	Released in full
46	Draft report - Transforming Auckland / Northland	Released in full
47	Email from Susan Krumdieck - Draft report for Working Group	Some information withheld under section 9(2)(a) of the Official Information Act
48	Draft report - Transforming Auckland / Northland	Released in full
19	Email from Wayne Brown - Report DRAFT2 corrected by WB	Some information withheld under section 9(2)(a) of the Official Information Act
50	Draft report – Transforming Auckland / Northland	Released in full
51	Draft report (track changes) – Transforming Auckland / Northland	Released in full
52	Email from Wayne Brown - Report DRAFT3 Penultimate (minor edits) Report 3 Draft report for typesetting.	Some information withheld under section 9(2)(a) of the Official Information Act
53	Report - Transforming Auckland / Northland	Released in full
53	Report - Transforming Auckland / Northland	Released in full

No.	Title	Explanation
54	Report - Transforming Auckland / Northland	Released in full
55	Report - Transforming Auckland / Northland	Released in full
56	Email from Hannah Dear - Professional proof reader + timeline	Some information withheld under section 9(2)(a) of the Official Information Act
57	Information document – Transforming Auckland / Northland	Released in full
58	Email from Hannah Dear- Presentation PDF	Some information withheld under section 9(2)(a) of the Official Information Act
59	Presentation - Upper North Island Supply Chain Strategy	Released in full
60	Draft letter to Ministers Robertson, Jones, Twyford	Released in full
61	Email from Hannah Dear – Presentation PDF	Some information withheld under section 9(2)(a) of the Official Information Act
62	Email from Hannah Dear – Presentation PDF	Some information withheld under section 9(2)(a) of the Official Information Act
63	Email from Wayne Brown - 2019 Industry summary Northland focus.pdf	Some information withheld under section 9(2)(a) of the Official Information Act
64	Email from EY - final EY report	Some information withheld under section 9(2)(a) of the Official Information Act
65	Final Report Final EY report	Refused under section 18(d) of the Official Information Act www.transport.govt.nz/multi-modal/keystrategiesandplans/upper-north-island-supply-chain-strategy/
66	CBA Model and data inputs	Released in full
67	Email - New / new-used vehicle registrations	Some information withheld under section 9(2)(a) of the Official Information Act
68	Data - Initial assessment for potential rates generated by a fully completed port development	Released in full
69	Email from the Treasury - Working agenda for Treasury	Some information withheld under section 9(2)(a) of the Official Information Act

No.	Title	Explanation
	and MoT - Key questions, issues and feedback	
70	CBA Data [please note: some of this data is not displayed optimally]	Released in full
71	Email from the Treasury - Questions on analysis for Upper North Island Supply Chain Study	Some information withheld under section 9(2)(a) of the Official Information Act
72	Email from EY - Questions on analysis for Upper North Island Supply Chain Study	Some information withheld under section 9(2)(a) of the Official Information Act
73	Email from Hannah Dear - Corrections Required, I think	Some information withheld under section 9(2)(a) of the Official Information Act
74	Email from Hannah Dear - Presentation PDF	Some information withheld under section 9(2)(a) of the Official Information Act
75	Email from Hannah Dear- Presentation PDF	Some information withheld under section 9(2)(a) of the Official Information Act
76	Upper North Island Supply Chain Strategy	Released in full.
77	Email from Hannah Dear - Presentation PDF	Some information withheld under section 9(2)(a) of the Official Information Act
78	Report Upper North Island Supply Chain Strategy – Information report	Released in full
79	Email from Bevan Elphick of AFFCO New Zealand - Upper North Island Supply Chain Strategy response	Some information withheld under section 9(2)(a) of the Official Information Act
30	Draft letter to stakeholders from the Working Group	Released in full
31	Report - Will It Serve to 2050 and Beyond?	Released in full.
81A	Model – ship arrivals to Northport; train movements between Northport and Waimauku	Released in full.

No.	Title	Explanation
82	Memo from Saffron Capital Ltd - Port Company Financial Returns – Paper 4	Some information withheld under section 9(2)(a) of the Official Information Act
83	Memo from Saffron Capital Ltd - Port Land Valuation Issues – Paper 2	Some information withheld under section 9(2)(a) of the Official Information Act
84	Memo from Saffron Capital Ltd - Port Company Financial Statements – Paper 3	Some information withheld under section 9(2)(a) of the Official Information Act
85	Memo from Saffron Capital Ltd - Upper North Island Port Land Analysis – Paper 1	Some information withheld under section 9(2)(a) of the Official Information Act
86	Letter from Ports of Auckland to Mayor Goff - Ports of Auckland UNISCS Interim Report and 30-year Master Plan	Released in full
87	Letter from Ports of Auckland to Mayor Goff - Ports of Auckland: UNISCS Interim Report and 30-year Master Plan	Released in full
88	Letter - Sea Cleaners Port Study Request - Feedback on Working Group report and request to consider further information for inclusion in second interim report.	Released in full
39	Letter to Mayor of Auckland	Released in full
90	Letter to The Treasury	Released in full
91	Letter to the Ministry of Transport	Released in full
92	Letter from Mayor of Auckland	Released in full
93	Consultant's report to the Port Future study – June 2016	Refused under section 18(d) of the Official Information Act www.transport.govt.nz/multi-modal/keystrategiesandplans/upper-north-island-supply-chain-strategy/